

DEC 12 2001

Approved for use through 10/31/2002. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

## Complete if Known

Application Number 09/818,683  
Filing Date March 28, 2001  
First Named Inventor Feng, et al.  
Examiner Name Unassigned  
Group Art Unit 1631  
Attorney Docket Number PZ020P1C1

Total amount of payment

\$0.00

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## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 08-3425

Deposit Account Name Human Genome Sciences, Inc.

- ☒ Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17  
☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:  
☐ Check ☐ Credit Card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) \$0.00

### 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20**	\$18.00	
Multiple Dependent	-3**	\$84.00	
		\$280.00	

Large Entity		Small Entity		Claims	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple dependent claim, if not paid	
108	84	209	42	Reissue independent claims over original patent	
110	18	210	9	Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) \$0.00

\*\* or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use hearing	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Statement	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
145	100	145	100	Certificate of correction	
148	110	248	55	Statutory disclaimer	
561	3	561	3	Printed copy of patent, regular service	

Other fee (specify):

Other fee (specify):

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) \$0.00

## Submitted By

Name (Print/Type) Janet M. Martineau

Registration No.: 46,903

Telephone 301-315-2723

Signature:

Date: DECEMBER 12, 2001

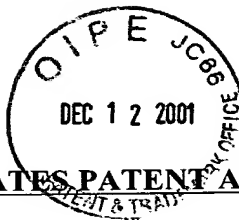
WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Feng, et al.

Application Serial No.: 09/818,683

Group Art Unit: 1631

Filed: March 28, 2001

Attorney Dkt. No.: PZ020P1C1

For: 125 Human Secreted Proteins

**REQUEST FOR CORRECTION OF FILING RECEIPT**

Commissioner for Patents  
Washington, D.C. 20231

Attn: Office of Initial Patent  
Examination's Customer Service Center

Sir:

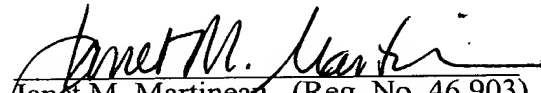
Applicants hereby request that a corrected Official Filing Receipt be issued and sent to the undersigned representative. Specifically, please change the Applicant(s) data from "Fouad Janat, Trolley Lane Westerly, RI" to -Fouad Janat, Westerly, RI--.

In support of the above request, a photocopy of the instant Official Filing Receipt is enclosed with the correction noted in red. It is requested that a corrected Official Filing Receipt be issued, and sent to the undersigned at the earliest possible time.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 08-3425. A duplicate copy of this pleading is enclosed.

Respectfully submitted,

Dated: DECEMBER 12, 2001

  
Janet M. Martineau (Reg. No. 46,903)  
Attorney for Applicants

Human Genome Sciences, Inc.  
9410 Key West Avenue  
Rockville, MD 20850  
(301) 315-2723

Enclosures: Copy filing receipt with corrections

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Page 1 of 3

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 WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/818,683	03/28/2001	1631	862	PZ020P1C1		24	4

CONFIRMATION NO. 3074

FILING RECEIPT



\*OC000000007107027\*

22195

 HUMAN GENOME SCIENCES, INC  
 9410 KEY WEST AVENUE  
 ROCKVILLE, MD 20850


KKH JMM DS

Date Mailed: 11/21/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

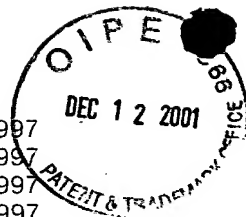
Ping Feng, Gaithersburg, MD;  
 Steven M. Ruben, Olney, MD;  
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 Reinhard Ebner, Gaithersburg, MD;  
 Henrik S. Olsen, Gaithersburg, MD;  
 Jian Ni, Rockville, MD;  
 Ying-Fei Wei, Berkeley, CA;  
 Daniel R. Soppet, Centreville, VA;  
 Paul A. Moore, Germantown, MD;  
 Hla Kyaw, Frederick, MD;  
 David W. LaFleur, Washington, DC;  
 Yanggu Shi, Gaithersburg, MD;  
 Fouad Janat, ~~Trolley Lane~~ Westerly, RI;  
 Gregory A. Endress, Potomac, MD;  
 Kenneth C. Carter, North Potomac, MD;

delete "TROLLEY LANE"

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF 09/305,736 05/05/1999  
 WHICH IS A CIP OF PCT/US98/23435 11/04/1998  
 WHICH CLAIMS BENEFIT OF 60/064,911 11/07/1997  
 AND CLAIMS BENEFIT OF 60/064,912 11/07/1997  
 AND CLAIMS BENEFIT OF 60/064,983 11/07/1997  
 AND CLAIMS BENEFIT OF 60/064,900 11/07/1997  
 AND CLAIMS BENEFIT OF 60/064,988 11/07/1997  
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 AND CLAIMS BENEFIT OF 60/064,984 11/07/1997  
 AND CLAIMS BENEFIT OF 60/064,985 11/07/1997  
 AND CLAIMS BENEFIT OF 60/066,094 11/17/1997

AND CLAIMS BENEFIT OF 60/066,100 11/17/1997  
AND CLAIMS BENEFIT OF 60/066,089 11/17/1997  
AND CLAIMS BENEFIT OF 60/066,095 11/17/1997  
AND CLAIMS BENEFIT OF 60/066,090 11/17/1997



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**Foreign Applications**

If Required, Foreign Filing License Granted 11/19/2001

Projected Publication Date: 02/28/2002

Non-Publication Request: No

Early Publication Request: No

**Title**

125 human secreted proteins

**Preliminary Class**

530

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Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

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Bib Data Sheet

CONFIRMATION NO. 3074

SERIAL NUMBER	FILING DATE	CLASS	GROUP ART UNIT	ATTORNEY DOCKET NO.
09/818,683	03/28/2001	530	1631	PZ020P1C1
RULE				

## APPLICANTS

Ping Feng, Gaithersburg, MD;  
 Steven M. Ruben, Olney, MD;  
 Craig A. Rosen, Laytonsville, MD;  
 Reinhard Ebner, Gaithersburg, MD;  
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 Kenneth C. Carter, North Potomac, MD;

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## \*\* CONTINUING DATA \*\*\*\*\*

THIS APPLICATION IS A CON OF 09/305,736 05/05/1999  
 WHICH IS A CIP OF PCT/US98/23435 11/04/1998  
 WHICH CLAIMS BENEFIT OF 60/064,911 11/07/1997  
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 AND CLAIMS BENEFIT OF 60/066,090 11/17/1997

## \*\* FOREIGN APPLICATIONS \*\*\*\*\*

 IF REQUIRED, FOREIGN FILING LICENSE GRANTED  
 \*\* 11/19/2001

Foreign Priority claimed	<input type="checkbox"/> yes <input type="checkbox"/> no	STATE OR COUNTRY	SHEETS DRAWING	TOTAL CLAIMS	INDEPENDENT CLAIMS
35 USC 119 (a-d) conditions met	<input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance	MD	0	24	4
Verified and Acknowledged	Examiner's Signature	Initials			

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## TITLE

125 human secreted proteins

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No. \_\_\_\_\_ for following:☐ All Fees☐ 1.16 Fees ( Filing )☐ 1.17 Fees ( Processing Ext. of  
time )☐ 1.18 Fees ( Issue )☐ Other \_\_\_\_\_☐ Credit